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PTO/SB/21 (09-04) Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Inder the Paperwork Reduction Act of 1995, no persons are required to respond to information unless it displays a valid OMB control number. Application Number 10/810,165 TRANSMITTAL Filing Date 03/26/2004 First Named Inventor **FORM** David S. F. Young Art Unit 1642 **Examiner Name** (to be used for all correspondence after initial filing) Attorney Docket Number 2056.040 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name McHale & Slavin, P.A. Signature Printed name Ferris H. Lander Date Reg. No. 43.377 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Debra N. Gerstemeier Typed or printed name 627 Leus

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICANT

: David S. F. Young et al

INVENTION

: CYTOTOXICITY MEDIATION OF CELLS

EVIDENCING SURFACE EXPRESSION OF CD44

SERIAL NUMBER

: 10/810,165

FILING DATE

: March 26, 2004

EXAMINER

.

GROUP ART UNIT

: 1642

ATTORNEY DOCKET NO.

: 2056.040

CERTIFICATE UNDER 37 CFR §1.8(a)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 CFR §1.56, the references cited on the accompanying form PTO/SB/08A and PTO/SB08B are hereby brought to the attention of the Examiner for independent evaluation. All of the references cited herein have been made of record in parent U. S. Patent Application No. 10/647,818, filed August 22, 2003, upon which the present application claims priority under 35 USC §120. Therefore, pursuant to 37 CFR §1.98(d), Applicants are not required to submit copies of the cited references.

Applicants submit that the present invention is patentable over the cited references.

Date 6/27/2005

Respectfully submitted,

Famis II I and a

Registration No. 43,377

McHale & Slavin, P.A. 2855 PGA Boulevard

Palm Beach Gardens, FL 33410

Telephone: (561) 625-6575 Facsimile: (561) 625-6572

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Substitute for form 1449/PTO		ompiete it Known	
	Application Number	10/810,165	
INFORMATION DISCLOSURE	Filing Date	03/26/2004	
	First Named Inventor	David S. F. Young	
STATEMENT BY APPLICANT	Art Unit	1642	
(Use as many sheets as necessary)	Examiner Name		

Sheet 1 of 6

Attorney Docket Number 2056.040

Examiner	Cite	Document Number	Publication Date	DOCUMENTS	Pages, Columns, Lines, Where
Initials*	No. ¹	Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		^{US-} 4,861,581	08/29/1989	Epstein et al	
		^{US-} 5,171,665	12/15/1992	Hellstrom et al	
		^{US-} 5,484,596	01/16/1996	Hanna, Jr., et al	•
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		FORE	IGN PATENT DOCI	JMENTS		
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	Substitute for form 1449/PTO		Coi	mplete if Known	
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		^{US-} 2005/0008646	01/13/2005	Young et al		
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449/PTO **Application Number** 10/810,165 INFORMATION DISCLOSURE Filing Date 03/26/2004 First Named Inventor STATEMENT BY APPLICANT David S. F. Young 1642 Art Unit (Use as many sheets as necessary) Examiner Name

Attorney Docket Number

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor	David S. F. Young
STATEMENT BY ALT LIGHT	Art Unit	1642
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of 6	Attorney Docket Number	2056.040
Sheet 4 of 6		

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Sheet	5	of	6	Attorney Docket Number	2056.040	

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number(s), publisher, city and/or country where published.				
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Signature	Considered	

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Sheet	6	of	6	Attorney Docket Number	2056.040	

	NON PATENT LITERATURE DOCUMENTS	
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	Cite No.1	Cite No.¹ Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. A. SCHRIJVERS et al, "MAb U36, a novel monoclonal antibody successful in immunotargeting of squamous cell carcinoma of the head and neck", Cancer Res., 53:4383-4390 (September, 1993) S. SEITER et al, "Prevention of tumor metastasis formation by anti-variant CD44", J. Exp. Med., 177:443-455 (February, 1993) Y. SHIMIZU et al, "Dual role of the CD44 molecule in T cell adhesion and activation", J. Immunol., 143:2457-2463 (October, 1989) T. STROBEL et al, "In vivo inhibition of CD44 limits intra-abdominal spread of a human ovarian cancer xenograft in nude mice: a novel role for CD44 in the process of peritoneal implantation", Cancer Res., 57:1228-1232 (April, 1997) J. STROOMER et al, "Safety and biodistribution of 99m Technetium-labeled anti-CD44v6 monoclonal antiody BIWA 1 in head and neck cancer patients", Clin. Can. Res., 6:3046-3055 (August, 2000) N. VAN HAL et al, "Monoclonal antibody U36, a suitable candidate for clinical immunotherapy of squamous-cell carcinoma, recognizes a CD44 isoform", Int. J. Cancer, 68:520-527 (1996) S. WALLACH-DAYAN et al, "CD44-dependent lymphoma cell dissemination: a cell surface CD44 variant, rather than standard CD44, supports in vitro lymphoma cell rolling on hyaluronic acid substrate and its in vivo accumulation in the peripheral lymph nodes", J. Cell Science, 114:3463-3477 (2001) M. ZAHALKA et al, "Lymph node (but not spleen) invasion by murine lymphoma is both CD44- and hyaluronate-dependent", J. Immunol., 154:5345-5355 (1995)

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